



#14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Herbert Gropp et al.  
Serial No: 09/476,521 Art Unit: 3682  
Filing Date: January 3, 2000  
Title: BUILT-UP CAMSHAFT  
Examiner:

June 26, 2000

RUM212R5

REFUND REQUEST

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

BOX: Initial Patent Examination Division  
Customer Service Center

SIR:

This is in response to the charge of US \$ 65.00 made on May 30, 2000 in the above recited application under fee code 205 "Surcharge - Late Filing fee or oath or declaration".

There was an Office Action "Notice to File Missing Parts of Non-provisional Application" issued March 10, 2000 stating the oath or declaration was unsigned.

As the Filing Receipt mailed on March 10, 2000 recites "Chemnitz, GERMANY" as the address of inventor Herbert Gropp, it appears that this information was clearly taken from the inventor declaration filed with the application. As a copy of the inventor declaration of the parent application clearly contained the inventors'

adjustment date: 04/09/2001 FILED  
05/20/2000 DTHCMB 03000015 110224 09476521  
01 FC:205 65.00 CR

*Duplicate  
Copy*

S.N.: 09/476,521

RUM212R5

June 26, 2000

Page 1

signatures, it is not understood why there should have been no signatures on the copies, when the Filing Receipt clearly shows that the inventor declaration copy was received by the United States Patent and Trademark Office.

Applicants responded on April 7, 2000 and informed the Hon. Commissioner of Patents and Trademarks on page 2, that the instant application was a continuation application, that a copy of a fully executed Declaration for Patent Application had been filed with the Continuation Application, and that the "Notice to File Missing Parts of Non-provisional Application" issued March 10, 2000 was erroneously issued, because the copy of a fully executed Declaration for Patent application had been filed with the Continuation Application.

A "Notice of Incomplete Reply" was issued on April 14, 2000 stating that, the late filing fee or oath or declaration was not received. The "Notice of Incomplete Reply" did not consider the contradiction between the official filing receipt indicating that the copy of the inventor declaration of the parent application had been received and the "Notice of Incomplete Reply" indicating that the signatures were missing.

The "Notice of Incomplete Reply" did not consider



this previous contradiction and did not consider the prior submission of the applicant, that the allegation the signatures were missing was an erroneous allegation of the "Notice to File Missing Parts of Non-provisional Application" issued March 10, 2000.

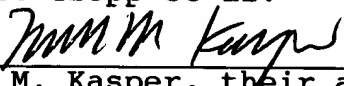
Recognition of the error in the allegation of the "Notice to File Missing Parts of Non-provisional Application" issued March 10, 2000 of missing signatures is respectfully requested.

Refund of the charge of US \$ 65.00 made on May 30, 2000 in the above recited application under fee code 205 "Surcharge - Late Filing fee or oath or declaration" is respectfully requested.

Respectfully submitted,

Herbert Gropp et al.

By:

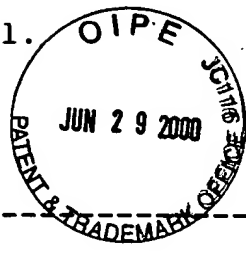
  
\_\_\_\_\_  
Horst M. Kasper, their attorney  
13 Forest Drive, Warren, N.J. 07059  
Tel. (908) 757-2839; Reg.No. 28,559  
Attorney's Docket No.: RUM212

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DEP/REF  
- REP  
Room 307

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Herbert Gropp et al.  
Serial No: 09/476,521  
Filing Date: January 3, 2000  
Title: BUILT-UP CAMSHAFT  
Examiner



Art Unit:

June 26, 2000

RUM212T5

TRANSMITTAL LETTER

BOX: Initial Patent Examination Division Customer Service Center  
Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

SIR:

Transmitted herewith for filing is:

- <X> Refund Request dated June 26, 2000 (3 pages)
  - <X> Copy of a Notice of Incomplete Reply
  - <X> Copy of a Monthly Statement of Deposit Account
  - ( ) Enclosed is a check to cover the fee in the amount of \$\_\_\_\_\_.
  - (X) The applicant hereby petitions the Commissioner of Patents and Trademarks to extend the time for response to any Office Action outstanding in the above captioned matter as necessary to avoid abandonment of the application. Please charge my deposit account No.11-0224 in the amount required to cover the cost of the extension. Any deficiency or overpayment should be charged or credited to the above account.
  - (X) The Commissioner is hereby authorized to charge any fees under 35 U.S.C. 1.16, and 1.17, after a mailing of a Notice of Allowance under 35 USC 1.18 or any additional fees which may be required during the entire pendency of the application, or credit any overpayment, to Acct. No.11-0224. A duplicate copy of this sheet is enclosed. If and only if account funds should be insufficient, immediately contact our associate, Lisa Zumwalt, at (703)415-0579, who will pay immediately to avoid deprivation of rights.
  - ( ) Please charge my Deposit Account No.11-0224 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- A signature or signatures required for the above recited document(s) is (are) provided herebelow. Such signature(s) also provide(s) ratification for any required signature appearing to be defective in the above recited document(s).

RECEIPT ACCOUNTING  
DIVISION

JUL -3

*Horst M. Kasper*  
Horst M. Kasper, 13 Forest Drive, Warren, N.J.07059  
Reg. No. 28,559 Tel.(908)757-2839

Mailing Certification:  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231,  
on.....27 JUN 2000.....

Signature... *Heppner* ..... Date.....27 JUN 2000.....  
\*%pt0:trans0(RUM212T5(February 22, 2000(sn



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
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HORST M KASPER  
HORST M. KASPER  
13 FOREST DRIVE

WARREN NJ 07059

FINA

Account No.	110224
Date	5-31-00
Page	1

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
5	1	00	2	PCT/US99/00625	PRF205	803	-62.00	2603.14
5	1	00	5	PCT/US99/00625	PRF205	803	71.00	2532.14
5	4	00	3	09476522		102	312.00	2220.14
5	4	00	4	09476522		103	540.00	1680.14
5	16	00	53	09566553	MSA236T1	203	108.00	1572.14
5	16	00	54	09566553	MSA236T1	204	130.00	1442.14
5	17	00	953	PCT/US99/00625	PRF205	802	336.00	1106.14
5	17	00	955	PCT/US99/00625	PRF205	566	15.00	1091.14
5	18	00	11	PAYMENT		701	-3000.00	4091.14
5	24	00	1	09242970		103	108.00	3983.14
5	24	00	16	PAYMENT		701	-1990.00	5973.14
5	30	00	55	09476521		205	65.00	5908.14
				OPENING BALANCE	TOTAL CHARGES		TOTAL CREDITS	CLOSING BALANCE
				2541.14	1685.00		5052.00	5908.14

AN AMOUNT SUFFICIENT TO  
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\*\*\* O.D. INDICATES OVERDRAWN

FORMALITIES LETTER



\*OC00000005110279\*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/476,521	01/03/2000	Herbert Gropp	RUM212

Horst M. Kasper  
13 Forest Drive  
Warren, NJ 07059

Date Mailed: 05/11/2000

**NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 01/03/2000 to the Notice to File Missing Parts (Notice) mailed 04/14/2000 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 was not received.
- **The balance due by applicant is \$ 65.**

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*A copy of this notice **MUST** be returned with the reply.*

\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

**ATTENTION ATTENTION ATTENTION**

Date Processed:

3682

☐

**ACH Payment**

Attached Is The Most Current ACH Information

☐

**Treasury Check**

The Most Current ACH Information Was  
Requested From This Customer With The Purpose Of  
Processing His Refund Request By Electronic Fund  
Transfer (EFT). No EFT Information Was Received.

Therefore, If Customer Is Due A Refund;

**A Check Will Be Issued.**

☒

**Credit To Deposit Account**

09/476521

GA

This Request for Refund Will Be Processed By Crediting Deposit  
Account Number 11-0224, If Customer Is Due A Refund.

**ATTENTION ATTENTION ATTENTION**